Form PTO-1449 (medified)

List of Patents and Publications
For Applicant's Information PADEM

Disclosure Statement (Use several sheets if necessary)

ÁTTY. DKT. NO. 5181-64300 بُبِي

APPLICANT: Slaughter et al.

FILING DATE: October 19, 2000

SERIAL NO. 09/693,297

GROUP: 2152

#### **U.S. PATENT DOCUMENTS**

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DAL	Al	5,455,952	10/3/95	Gjovaag		-	
1	A2	5,386,568	1/31/95	Wold, et al.			
	A3	5,423,042	6/6/95	Jalili, et al.			
	A4	5,481,721	1/2/96	Serlet, et al.			
	A5	5,557,798	9/17/96	Skeen, et al.			
	A6	5,944,793	8/31/99	Islam, et al.			_
	A7	5,946,485	8/31/99	Weeren, et al.		DEAR.	
	A8	5,946,694	8/31/99	Copeland, et al.		RECEIV	ED
	A9	4,491,946	1/1/85	Kryshow, Jr., et al.		JUL 1 3	2001
	A10	4,713,806	12/15/87	Oberlander, et al.		Graup 3	1
	All	4,809,160	2/28/89	Mahon, et al.		.2	99
	A12	4,939,638	7/3/90	Stephenson, et al.			
	A13	5,088,036	2/11/92	Ellis, et al.			
	Al4	4,823,122	4/18/89	Mann, et al.	i E		1
	A15	4,956,773	9/11/90	Saito, et al.			
	A16	5109,486	4/28/92	Seymour			
	A17	5,187,787	2/16/93	Skeen, et al.			
	A18	5,218,699	6/8/93	Brandle, et al.			
	A19	5,257,369	10/26/93	Skeen, et al.			
	A20	5,293,614	3/8/94	Ferguson, et al.			
	A21	5,297,283	3/22/94	Kelly, Jr., et al.			
	A22	5,307,490	4/26/94	Davidson, et al.			
	A23	5,311,591	5/10/94	Fischer			
	A24	5,339,435	8/16/94	Lubkin, et al.			
	A25	5,390,328	2/14/95	Frey, et al.			
3	A26	5,440,744	8/8/95	Jacobson, et al.			

EXAMINER:

DATE CONSIDERED:

1/7/04

Form PTO-1449 (modified DEMARK)

List of Patents and Publications For Applicant's Information Disclosure Statement

ATTY. DKT. NO. 5181-64300

SERIAL NO. 09/693,297

APPLICANT: Slaughter et al.

**GROUP: 2152** 

(U	se severa	l sheets if necessary)	FILING DAT	ΓE: October 19, 2000			
		U	S. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DAL	A27	5,448,740	9/5/95	Kiri, et al.			
1	A28	5,452,459	9/19/95	Drury, et al.			
	A29	5,471,629	11/28/95	Risch			
	A30	5,475,792	12/12/95	Stanford, et al.			
	A31	5,475,817	12/12/95	Waldo, et al.	REC	EIVED	
	A32	5,504,921	4/2/96	Dev, et al.	F 11 11	1 3 2001	
	A33	5,511,197	4/23/96	Hill, et al.	FJUL		
	A34	5,524,244	6/4/96	Robinson, et al.	Gro	up 2100	
	A35	5,553,282	9/3/96	Parrish, et al.			
	A36	5,555,367	9/10/96	Premerlani, et al.		***	
	A37	5,555,427	9/10/96	Aoe, et al.			
	A38	5,560,003	9/24/96	Nilsen, et al.			
	A39	5,561,785	10/1/96	Blandy, et al.			
11/11/11	A40	5,577,231	11/19/96	Scalzi, et al.			
	A41	5,594,921	1/14/97	Pettus			
	A42	5,603,031	2/11/97	White, et al.			
	A43	5,680,573	10/21/97	Rubin, et al.			
	A44	5,680,617	10/21/97	Gough, et al.			
	A45	5,684,955	11/4/97	Meyer, et al.			
1	A46	5,689,709	11/18/97	Corbett, et al.			
	A47	5,706,435	1/6/98	Barbara, et al.			
	A48	5,706,502	1/6/98	Foley, et al.			l <sub>es</sub>
188	A49	5,724,588	3/3/98	Hill, et al.			
	A50	5,727,145	3/10/98	Nessett, et al.			
	A51	5,617,537	4/1/97	Yamada, et al.			
	A52	5,628,005	5/6/97	Hurvig			

**EXAMINER:** 

A53

5,640,564

DATE CONSIDERED:

Hamilton, et al.

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

6/17/97

Form PTO-1449 (modified)

List of Patents and Publications

For Applicant's Information
Disclosure Statement
(Use several sheets if necessaring)

ATTY. DKT. NO. 5181-64300

APPLICANT: Slaughter et al.

SERIAL NO. 09/693,297

**GROUP: 2152** 

MOTE October 19, 2000

EXAM. REF. DOCUMENT NUMBER DATE NAME CLASS SUB FILING DATE IF APPROPRIATE								
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
DAL	A54	5,644,768	7/1/97	Periwal, et al.				
	A55	5,652,888	7/29/97	Burgess, et al.				
	A56	5,655,148	8/5/97	Richman, et al.				
	A57	5,659,751	8/19/97	Heninger				
	A58	5,671,225	9/23/97	Hooper, et al.				
	A59	5,675,796	10/7/97	Hodges, et al.				
	A60	5,737,607	4/7/98	Hamilton, et al.		RECEIV	/ED	
	A61	5,745,678	4/28/98	Herzberg, et al.		'JUI 1 3	2001	
	A62	5,745,695	4/28/98	Gilchrist, et al.				
	A63	5,745,703	4/28/98	Cejtin, et al.		Group 2	100	
	A64	5,745,755	4/28/98	Covey				
	A65	5,748,897	5/5/98	Katiyar				
	A66	5,754,849	5/19/98	Dyer, et al.				
	A67	5,757,925	5/26/98	Faybishenko	707-11			
	A68	5,761,656	6/2/98	Ben-Shachar				
	A69	5,764,897	6/9/98	Khalidi				
	A70	5,768,532	6/16/98	Megerian		<del>"                                    </del>	*	
	A71	5,774,551	6/30/98	Wu, et al.				
	A72	5,778,228	7/7/98	Wei				
	A73	5,778,368	7/7/98	Hogan, et al.				
	A74	5,787,425	7/28/98	Bigus				
	A75	5,787,431	7/28/98	Shaughnessy				
	A76	5,790,548	8/4/98	Sistanizadeh, et al.				
	A77	5,802,367	9/1/98	Held, et al.				
	A78	5,808,911	9/15/98	Tucker, et al.				
	A79	5,809,507	9/15/98	Cavanaugh, III	-11			
V	A80	5,813,013	9/22/98	Shakib, et al.				

EXAMINER: Dom Jam

DATE CONSIDERED:

1/7/04

Form PTO-1449 (modified)
List of Patents and Publications
For Applicant's Information
Disclosure Statement

(Use several sheets if necessary

ATTY. DKT. NO. 5181-64300

SERIAL NO. 09/693,297

APPLICANT: Slaughter et al.

GROUP: 2152

ର୍ଷ Flig NG DATE: October 19, 2000

U.S. PA	TENT	<b>DOCUM</b>	<b>MENTS</b>
---------	------	--------------	--------------

EXAM. INITIALS	REF O	TOP THE NUMBER	MARKOTOATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DRC	A81	5,833,149	9/29/98	Mutschler, III et al.			
1	<b>₹</b> 382	1 2 2007 5,84\$,709	9/29/98	Waldo, et al.		-	
<u> </u>	ASP D	1 2 2001 5,815,709 0EMARY \$15,711 5,818,448	9/29/98	Sakamoto, et al.			
	A84	5,818,448	10/6/98	Katiyar			
	A85	5,829,022	10/27/98	Watanabe, et al.			
	A86	5,832,219	11/3/98	Pettus			
	A87	5,832,529	11/3/98	Wollrath, et al.			
	A88	5,832,593	11/10/98	Wurst, et al.			
	A89	5,835,737	11/10/98	Sand, et al.			
	A90	5,842,018	11/24/98	Atkinson, et al.		D = -	
	A91	5,844,553	12/1/98	Hao, et al.		RECE	VED
	A92	5,845,129	12/1/98	Wendorf, et al.		JUL 1 3	2001
	A93	5,860,004	1/12/99	Fowlow, et al.			
	A94	5,860,153	1/12/99	Matena, et al.		Group :	1100
	A95	5,864,862	1/26/99	Kriens, et al.			
	A96	5,864,866	1/26/99	Henckel, et al.			
	A97	5,872,928	2/16/99	Lewis, et al.			
1	A98	5,872,973	2/16/99	Mitchell, et al.			
7	A99	5,875,335	2/23/99	Beard			
	A100	5,878,411	3/2/99	Burroughs, et al.			
	A101	5,884,024	3/16/99	Lin, et al.			
	A102	5,884,079	3/16/99	Furusawa			
	A103	5,887,134	3/23/99	Ebrahim			
	A104	5,890,158	3/30/99	House, et al.			
	A105	5,892,904	4/6/99	Atkinson, et al.			
	A106	5,933,497	8/3/99	Beetcher, et al.			
1	A107	5,935,249	8/10/99	Stern, et al.			

EXAMINER:

DATE CONSIDERED:

1/7/04

Form PTO-1449 (modified): P ATTY. DKT. NO. 5181-64300 List of Patents and Publications

SERIAL NO. 09/693,297

For Applicant's Information Jul 4 2 2001

APPLICANT: Slaughter et al.

**GROUP: 2152** 

Disclosure Statement (Use several sheets if necessary)

LING DATE: October 19, 2000

ENLAN		TRADE	T		CT ACC	CID	FILING DATE II
EXAM INITIAI			DATE	NAME	CLASS	SUB CLASS	APPROPRIATE
DRO	A10	5,940,827	8/17/99	Hapner, et al.			<u> </u>
1	A10	5,966,531	10/12/99	Skeen, et al.			
	All	5,969,967	10/19/99	Aahlad, et al.			
	Al1	5,987,506	11/16/99	Carter, et al.			
	A11	2 5,999,179	12/7/99	Kekic, et al.			
	A11	3 6,003,763	12/21/99	Gallagher, et al.			
	A11	6,009,103	12/28/99	Woundy			
	A11	5 6,016,496	1/18/00	Roberson			
	A11	6 6,026,414	2/15/00	Anglin			
	A11	7 6,031,977	2/29/00	Pettus			
	A11	8 6,061,699	5/9/00	DiCecco, et al.			
1	/ A11	9 6,061,713	5/9/00	Bharadhwaj		RECE	IVED
						JUL	3 2001
						Group	2100
				· -			-100
						<u> </u>	
-						-	
<del></del>							
					.   .		
		-					
		J 1 1					

**EXAMINER:** 

DATE CONSIDERED:



# Form PTO-1449 (modified)

List of Patents and Publications
For Applicant's Information
Disclosure Statement
(Use several sheets if necessary)

£ATTY. DKT. NO. 5181-64300

APPLICANT: Slaughter et al.

SERIAL NO. 09/693,297

**GROUP: 2152** 

FILING DATE: October 19, 2000

### U.S. PATENT DOCUMENTS

U.S. PATENT DOCUMENTS									
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO		
DIL	D1	5,778,187	<i>71</i> 7/98	Monteiro, et al.					
	D2	5,832,529	11/3/98	Wollrath, et al.					
	D3	6,016,500	1/18/00	Waldo, et al.					
FOREIGN PATENT DOCUMENTS									
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO		

INITIALS	DES.	DOCUMENT NOMBER	DAIL	COONTRI	CLASS	CLASS	YES/NO
OPL	Cl	11-45187	10/10/97	JP			
1	C2	2 253 079	8/26/92	GB			
	C3	2 262 825	6/30/93	GB		ter .	THE THE
	C4	2 305 087	3/26/97	GB		nno	RECEIV
	C5	300 516	1/25/89	EP		Vgo	17
	C6	351 536	1/24/90	EP		Technology Center 2100	201
	C7	384 339	8/29/90	EP		<i>1</i> 5	90
	C8	472 874	3/4/92	EP		9	
	C9	474 340	3/11/92	EP			
	C10	497 022	8/5/92	EP			
	C11	555 997	8/18/93	EP			
	C12	565 849	10/20/93	EP			
	C13	569 195	11/10/93	EP			
	C14	697 655	2/21/96	EP			
	C15	660 231	6/28/95	EP			
	C16	651 328	5/3/95	EP			
	C17	625 750	11/23/94	EP		···-	
	C18	718 761	6/26/96	EP			
	C19	767 432	4/9/97	EP			
	C20	778 520	6/11/97	EP			
1	C21	794 493	9/10/97	EP			

EXAMINER: Ind for

DATE CONSIDERED:

17/04

## Form PTO-1449 (medified)

List of Patents and Publications
For Applicant's Information Phanesis

Disclosure Statement

EATTY. DKT. NO. 5181-64300

SERIAL NO. 09/693,297

GROUP: 2152

APPLICANT: Slaughter et al.

#### FILING DATE: October 19, 2000 (Use several sheets if necessary) FOREIGN PATENT DOCUMENTS TRANSLATION **CLASS SUB** REF. DOCUMENT NUMBER DATE COUNTRY EXAM. YES/NO **CLASS** DES. INITIALS 803 810 10/29/97 C22 EP C23 803 811 10/29/97 EP 805 393 11/5/97 EP C24 C25 810 524 12/3/97 EP C26 817 020 1/7/98 EP C27 817 022 1/7/98 EP C28 817 025 1/7/98 EP C29 836 140 4/15/98 EP C30 92/07335 4/30/92 WO 6/11/92 WO C31 92/09948 C32 93/25962 12/23/93 WO WO C33 94/03855 2/17/94 C34 96/10787 4/11/96 wo WO C35 96/03692 2/8/96 WO C36 96/18947 6/20/96 C37 96/24099 8/8/96 wo WO C38 98/02814 1/22/98 wo C39 98/04971 2/5/98 OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Jaworski, "Java 1.1 Developer's Guide, 2<sup>nd</sup> Edition," Sams.net, 1997. C40 DM C41 Coulouris, et al., "Distributed Systems Concepts and Designs," Second Edition, Addison-Wesley, 1994. Mullender, "Distributed Systems," Second Edition, Addison-Wesley, 1993. C42 C43 Lindholm, et al., "The Java ™ Virtual Machine Specification," Addison Wesley, 1996. D4 "SOAP: Simple Object Access Protocol," msdn online Web Workshop, Microsoft, April 26, 2000, http://msdn.Microsoft.com/xml/general/soapspec.asp, 34 pages. Rob Guth, "Sun tries on JacaSpaces for Distributed OS," August 1997, Vol. 19, Issue 34, 2 pages. D4 Microsoft, "Microsoft.NET: Realizing the Next Generation Internet," A Microsoft White Paper, June D6

EXAMINER: , , , ,

2000, 8 pages.

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Page 2 of 3

Information Disclosure Statement--PTO 1449 (modified)

Form PTO-1449 (modified) ATTY. DKT. NO. 5181-64300 SERIAL NO. 09/693,297 List of Patents and Publications
For Applicant's Information APPLICANT: Slaughter et al. **GROUP: 2152** Disclosure Statement (Use several sheets if necessary) FILING DATE: October 19, 2000 K. F. Eustice, et al., "A Universal Information Appliance," IBM Systems Journal, Vol. 38, No. 4, 1999, Pp. 575-601. Wycoff et al., "T Spaces," IBM Systems Journal, Vol. 37, No. 3-Java Technology, August 1998, 36 pages. **D8** D9 Steve Morgan, "Jini to the Rescue," IEEE Spectrum, April 2000, Vol. 37, No. 4, 8 pages.

**EXAMINER:** 

Dal Jan

DATE CONSIDERED:

1/7/04